

## 6.4. Quadratic form matrices

last lecture: Dynkin basis  $\boxed{1 \ 1} \dots$  and coroot basis  $(1, 1)$

$$\beta = \beta_i \alpha^{(i)} \leftrightarrow \beta^\vee = \beta_i^\circ (\alpha_i)^\vee$$

dual, therefore we have an inner product

$$(\alpha, \beta) = \sum_i \alpha_i \beta_i^\circ = \sum_i \alpha_i^\circ \beta_i = \sum_{i,j} \alpha_i^\circ G_{ij} \beta_j^\circ = \sum_{i,j} \alpha_i G_{ij} \beta_j$$

$$G_{ij} = ((\alpha_i)^\vee, (\alpha_j)^\vee) = \frac{2}{(\alpha_i^\vee, \alpha_i^\vee)} A_{ij}$$

$$G^{ij} = (\alpha^{(i)}, \alpha^{(j)})$$

important for non-simply-laced  $g$ 's

$$\sum_k G_{ik} G^{kj} = \delta_i^j, G_{ij} \text{ symmetric, while } A_{ij} \text{ in general not.}$$

Lie ART:  $G^{ij} \stackrel{\text{def}}{=} \text{Metric Tensor [algebra]}$

## 7. Dynkin diagrams and Classification

### 7.1. Dynkin diagrams

last lecture: Cartan matrix  $A_{ij}$  contains all information and is heavily constraint.

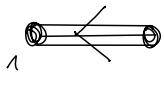
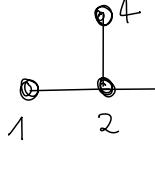
Dynkin diagrams are a compact way to describe  $A_{ij}$ .

Rules: 1) each simple root is indicated by a node  $\circ_i$

2) between two nodes one draws  $\underbrace{A_{ij} A_{ji}}_{\text{no sum}} \in \{0, 1, 2, 3\}$  lines

3) an arrow points towards the longer root, if both roots are not of the same length.

Examples: -su(3),  $\circ_1 \longrightarrow \circ_2$ ,  $A = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}$ ,  $\chi(\alpha^{(1)}, \alpha^{(2)}) = 120^\circ$

$- \mathfrak{g}_2$   ,  $A = \begin{pmatrix} 2 & -1 \\ -3 & 2 \end{pmatrix}$ ,  $\chi(\alpha^{(1)}, \alpha^{(2)}) = 150^\circ$   
 $- \mathfrak{so}(5)$   ,  $A = \begin{pmatrix} 2 & -2 \\ -1 & 2 \end{pmatrix}$ ,  $\chi(\alpha^{(1)}, \alpha^{(2)}) = 135^\circ$   
 $- \mathfrak{so}(8)$   ,  $A = \begin{pmatrix} 2 & -1 & 0 & 0 \\ -1 & 2 & -1 & -1 \\ 0 & -1 & 2 & 0 \\ 0 & -1 & 0 & 2 \end{pmatrix}$

Question: Does any Dynkin diagram we can imagine give rise to a simple Lie algebra?

Answer: NO! Additional constraints hold.

## 7.2. Classification of simple Lie algebras

We begin with the Lie algebras of the classical matrix groups from lecture 3.

### 7.2.1 Classical Lie algebras

$\mathfrak{su}(N)$ :  $A + A^T = 0$ ,  $\text{tr } A = 0$ ,  $A \in M_{N \times N}(\mathbb{C})$

using  $(\sum_{ab})_{cd} = \delta_{ac} \delta_{bd}$  we have:

Cartan generators:  $H_i = \sum_{i=1}^r E_{ii} - \sum_{i+1}^{r-1} E_{i+1,i+1}$ ,  
 $r = \text{rank } (\mathfrak{su}(N)) = N-1$

Simple roots:  $E_i^{\circ} = \sum_{i+1}^r E_{i+1,i+1}$

remember:  $[H_i, E_j] = A_{ij} E_j$  and with

$[\sum_{ab}, \sum_{cd}] = \delta_{bc} \sum_{ad} - \delta_{da} \sum_{cb}$  we find

$$[H_i, E_i] = [\sum_{ii} - \sum_{i+1,i+1}, \sum_{ii+1}] = 2 \sum_{ii+1} = 2 E_i^{\circ}$$

$$[H_i, E_{i+1}] = [\sum_{ii} - \sum_{i+1,i+1}, \sum_{i+1,i+2}] = - \sum_{i+1,i+2} = - E_{i+1}^{\circ}$$

$$[H_i, E_{i-1}] = \dots = - E_{i-1}^{\circ}$$

while all other comm's vanish.

$$A_n: \begin{array}{ccccccc} \bullet & \bullet & \dots & \bullet & & & \\ | & & & & n & & \\ \end{array} \stackrel{\cong}{=} \mathfrak{su}(n+1)$$

$$\text{so}(2N+1): A + A^T = 0, \quad A \in M_{2N+1 \times 2N+1}(\mathbb{R})$$

$$f_{ab} = \sum_{ab} - \sum_{ba} \quad (\text{by construction antisymmetric})$$

$$\text{Cartan generators: } H_i^\circ = -\frac{i}{\sqrt{-1}} \mathcal{A}_{2i-1 \ 2i}, \quad i=1, \dots, N$$

$$\text{Simple roots: } E_i^\circ = \begin{cases} \mathcal{A}_{2i-1 \ 2i+1} + i \mathcal{A}_{2i \ 2i+1} - i(\mathcal{A}_{2i-1 \ 2i+1} + i \mathcal{A}_{2i \ 2i+2}), & i < N \\ \mathcal{A}_{2N-1 \ 2N+1} + i \mathcal{A}_{2N \ 2N+1}, & i = N \end{cases}$$

$$B_n: \begin{array}{ccccc} \bullet & \dots & \bullet & \xrightarrow{\quad} & \bullet \\ | & & & & n \\ \end{array} \stackrel{\cong}{=} \text{so}(2n+1, \mathbb{R})$$

$$\text{sp}(2N): A^T \mathcal{E}_{2N} + \mathcal{E}_{2N} A = 0, \quad A \in M_{2N \times 2N}(\mathbb{R})$$

remember  $\mathcal{E}_2 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$

You can work out the details by yourself and get

$$C_n: \begin{array}{ccccc} \bullet & \dots & \bullet & \xleftarrow{\quad} & \bullet \\ | & & & & n \\ \end{array} = \text{sp}(2n, \mathbb{R})$$

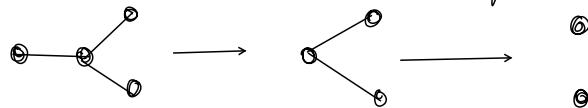
$\text{so}(2N)$ : similar to  $\text{so}(2N+1)$ , please check details and verify

$$D_n: \begin{array}{ccccc} \bullet & \dots & \bullet & \xleftarrow{\quad} & \bullet \\ | & & & & n \\ \end{array} = \text{so}(2n, \mathbb{R})$$

## 7.2.2. Exceptional Lie algebras

Question: Are there more admissible Dynkin diagrams?  $\xrightarrow{\quad}$  produce simple Lie algebra

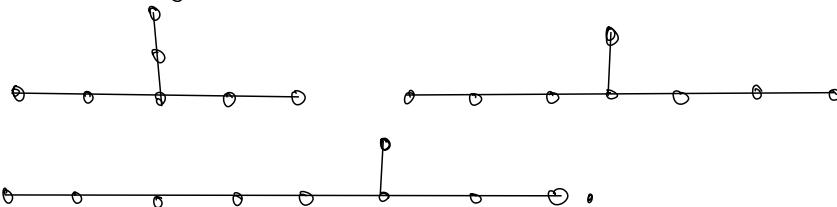
Rules: 1) Any subdiagram, obtained by removing nodes, of an admissible diagram is also admissible.



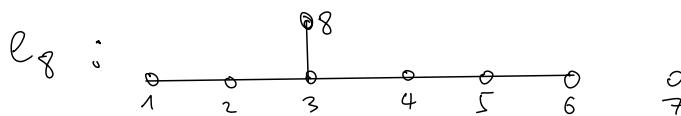
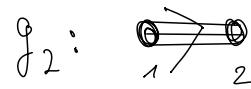
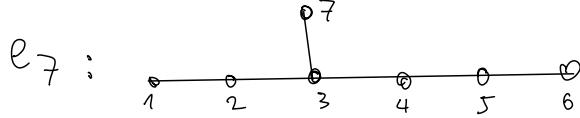
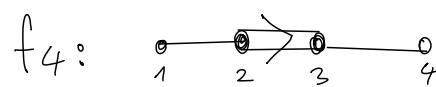
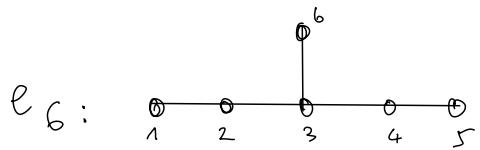
- 2) There are at most  $r-1$  pairs of nodes connected to each other in a rank  $r$  simple Lie algebra.
- 3) There are no diagrams with loops.
- 4) No node has more than 3 lines ending on it.
- 5) Any string of nodes connected by a single line, can be collapsed to a single node, and result in an admissible diagram (the  $A_n$  series).
- 6) There is only one diagram with a triple line:



- 7) Any admissible diagram has at most one double line on a node with 3 single lines ending on it.
- 8) The diagram is not admissible.
- 9) The only admissible diagrams with double lines are:
- and
- 10) Any diagram that can be collapsed to one of the following is not admissible:



Answer: In addition to the classical  $A_n, B_n, C_n$  and  $D_n$  series, there are only five more exceptional admissible Dynkin diagrams:



This completes the classification of simple Lie algebras.